

1.5 Compliance Risk (Law and Regulation)

E.G. Charity law / Companies Act / Own constitution / Data Protection Act / Disability Discrimination Act / Employment Law (Redundancy, unfair dismissal, minimum wages) / Race relations / Health and Safety law (Fire regulations etc)

Potential risk	Potential impact	Steps to mitigate risk
Professional advice	<ul style="list-style-type: none">• Failure to optimise fiscal position.• Contract risks.• Failure to address compliance risks.	<ul style="list-style-type: none">• Identification and access to professional advice.• Identification of issues where advice is required.• Compliance reviews.